Complaints about the Director-General: section 48A of the *Crime* and *Corruption Act 2001*

Policy Statement

The Department of Youth Justice (the department) is committed to managing complaints involving its Director-General to maintain transparency and integrity whilst promoting public confidence in the department. This includes managing complaints of this nature ethically and in accordance with obligations under section 48A of the *Crime and Corruption Act 2001* (CC Act).

1 Objective

- 1. The Director-General is the public official of the department for the purposes of the (CC Act).
- The Public Sector Commission has published a "Framework for oversight of senior public service employee complaints devolved by the Crime and Corruption Commission (CCC)" (the Framework), which applies to complaints about the Director-General of the department.
- 3. This policy aims to set out how the department will deal with a complaint (or information or matter)² that involves or may involve corrupt conduct, as defined in the CC Act, by the Director-General.
- 4. This policy should be read in conjunction with the Code of Conduct for the Queensland Public Service and the department's:
 - Employee Grievance policy
 - Public Interest Disclosure policy; and
 - Fraud and Corruption policy.

2 Policy rationale

This policy is designed to assist the department to:

- 1. Comply with section 48A of the CC Act and the Framework.
- 2. Promote public confidence in how suspected corrupt conduct by the Director-General of the department will be dealt with under section 34(c) of the CC Act.
- 3. Promote accountability, integrity, and transparency in how the department deals with a complaint reasonably suspected to involve or that may involve corrupt conduct by the Director-General.

3 Definitions

Crime and Corruption Commission (CCC)	the Commission continued in existence under the CC Act	
CC Act	Crime and Corruption Act 2001	
Complaint	includes information or matter: see the definition in s. 48A(4) of the CC Act	
Contact details for Nominated person	Deputy Director-General, Corporate Department of Youth Justice Mobile: 0427 413 335 Email: sarah.baster@cyjma.qld.gov.au	

	Postal Address: Level 13, 150 Mary Street Brisbane CBD Qld 4000	
Corrupt conduct	see s. 15 of the CC Act	
Corruption in Focus	https://www.ccc.qld.gov.au/publications/corruption-focus; see chapter 2, page 26	
Deal with	see Schedule 2 (Dictionary) of the CC Act	
Framework	means the "Framework for oversight of senior public service employee complaints devolved by the CCC" that has been published by the PSC (as varied from time to time) – see Attachment 1	
Nominated person	see item 5 of this policy	
Public official	see s. 48A & Schedule 2 (Dictionary) of the CC Act	
PSC	Public Sector Commission	

4 Policy application

This policy applies:

- if there are grounds to suspect that a complaint may involve corrupt conduct by the Director-General of the department; and
- to all persons who hold an appointment in, or are employees of, the department.

For the purpose of this policy a complaint includes information or matter.¹

5 Nominated person

Having regard to section 48A(2) and (3) of the CC Act, this policy nominates:

 the Deputy Director-General, Corporate, Department of Youth Justice as the nominated person

to notify² the CCC of the complaint and, subject to the Framework, to deal with the complaint under the CC Act.³

The provisions of the CC Act that regulate how the Director-General as the public official of the department is to notify or deal with a complaint also apply to the nominated person.⁶

6 Complaints about the Director-General

If a complaint may involve an allegation of corrupt conduct by the Director-General of the department, the complaint may be reported to:

- the nominated person; or
- a person to whom there is an obligation to report under an Act⁴ (this does not include an obligation imposed by sections 38 or 39(1) of the CC Act).

¹ See s. 48A(4) of the CC Act.

² Pursuant to s. 38 of the CC Act.

³ Under Chapter 2, Part 3, Division 4, Subdivision 2 of the CC Act.

⁴ See s. 39(2) of the CC Act.

If there is uncertainty about whether or not a complaint should be reported, it is best to report it to the nominated person.

Complaints received by the nominated person

If the nominated person reasonably suspects that a complaint involves or may involve corrupt conduct by the Director-General, they are to notify the CCC of the complaint. ⁵ Where, pursuant to section 46 of the CC Act, the CCC refers a complaint back to the nominated person to deal with ⁶ or pursuant to directions issued under section 40 of the CC Act, the nominated person is entitled to commence dealing with a complaint, the nominated person shall:

- *not* commence investigating the complaint
- advise the Director-General of the Department of the Premier and Cabinet about the referral and/or complaint so a delegation from the Premier to deal with the complaint can be sought; and
- cooperate with any requests for assistance made by another agency that is investigating or managing the investigation of the complaint.

Complaints received by the Director-General

If the Director-General receives a complaint that may involve corrupt conduct on their part, they must:

- report the complaint to the nominated person as soon as practicable and may also notify the CCC; and
- take no further action to deal with the complaint unless requested to do so by the nominated person or another agency that is investigating or managing the investigation of the complaint.

7. Recordkeeping requirements

Should the nominated person decide that a complaint, or information or matter, about alleged corrupt conduct by the Director-General is not required to be notified to the CCC under section 38 of the CC Act, the nominated person must make a record of the decision that complies with section 40A of the CC Act.

8. Resourcing the nominated person

- If pursuant to s. 46 of the CC Act, the CCC refers a complaint back to the nominated person to deal with, or pursuant to directions issued under s. 40 of the CC Act, the nominated person is entitled to commence dealing with a complaint:
- the department will ensure that sufficient resources are available to the nominated person to enable them to perform their obligations under clause 6 of this policy; and
- the nominated person is delegated the same authority, functions, and powers as the
 Director-General to direct and control staff of the department as if the nominated
 person is the Director-General of the department for the purpose of dealing with the
 complaint only.

9 Liaising with the CCC

The Director-General is to keep the CCC and the nominated person informed of:

- the contact details for the Director-General and the nominated person; and
- any proposed changes to this policy.

⁵ Pursuant to s. 38 of the CC Act.

⁶ Under ss. 43 and 44 of the CC Act.

10 Consultation with the CCC

The Director-General will consult with the CCC when preparing any policy about how the department will deal with a complaint that involves or may involve corrupt conduct by the Director-General.

11 Statutory references

Unless otherwise stated, all statutory references are to the <u>Crime and Corruption Act</u> <u>2001</u>.

12 Human Rights

The policy has been reviewed for compatibility with human rights under the <u>Human Rights Act 2019 (the Act)</u>. The policy was not found to engage any human rights under that Act. As such, it is reasonable to conclude the policy is compatible with human rights.

13 Storage of information

All information should be managed in accordance with the <u>Public Records Act 2002</u>, and the whole-of-Government Records Governance policy. In addition, personal information should be managed in accordance with the <u>Information Privacy Act 2009</u>.

14 Approval

This policy is approved by:

Director-General

> Date: 3/06/2024

Attachment 1

service employee complaints devolved by the Framework for oversight of senior public **Crime and Corruption Commission**

Commission (CCC), including complaints about former employees, for all public service Senior Executive Effective 1 March 2023, this framework supports complaints devolved by the Crime and Corruption Service (SES) 3, or equivalent and higher.

Entity role	 Limited to providing access to relevant evidence, documentation and potential witnesses. Meeting obligations under the Public Interest Disclosure Act 2010.
Public Sector Commission (PSC) role	Establish terms of reference, engage independent. investigators as necessary, manage investigation, support any discipline process. Obtain independent legal advice if required. Provide progress reports to the CCC as required. Brief the Director-General, DPC as required.
Instrument required	Requires instrument of delegation from the Premier to Chair of Public Sector Governance Council under s281 of the <i>Public Sector Act 2022</i> .
Decision maker	Director-General, DPC
Subject of complaint	Directors-General (excluding Director-General, Department Premier and Cabinet (DPC), Commissioner of Police and Commissioner of Fire and Emergency Services)



For the investigation – as requested by CCC. s to the Meeting obligations under the <i>Public Interest Disclosure Act 2010.</i> rocess.	For the investigation – as requested by CCC. Interest Disclosure Act 2010. Interest Disclosure Act 2010.	nined • In accordance with CCC and legal advice.	 Identify conflicts of interest and complete a conflicts of interest management plan if required. Establish terms of reference, engage independent investigators as necessary, and manage investigation. Support the independent Director-General for any discipline process. Obtain independent legal advice if required. Provide progress reports to the CCC and PSC as required. Meeting obligations under the Public interest Disclosure Act 2010.
 Obtain independent legal advice if required. Provide progress reports to the CCC as required. Support any discipline process. Brief the Premier as required. 	 Obtain independent legal advice if required. Provide progress reports to the CCC as required. Support any discipline process. Brief the Minister as required. 	Role, if any, to be determined considering CCC and legal advice.	Approve that conflicts of interest in the entity can be managed appropriately so that the entity can manage the investigation process and support any discipline process. Responsible for the investigation and support of discipline process where conflicts cannot be managed. Provide oversight and support the independent Director-General by advising on key steps in the investigation and
Not applicable. Cannot be delegated.	Not applicable. Cannot be delegated.	Any delegation required is in accordance with legal advice	Requires an instrument of delegation from the Director-General or agency Chief Executive of the home entity under \$282 of the <i>Public Sector Act</i> 2022.
Investigation – CCC responsible. Subject to CCC agreeing to this role. Decision on discipline – the Premier. Noting on advice from the Solicitor-General.	Investigation – CCC responsible. Subject to CCC agreeing to this role. Decision on discipline – Governor in Council on recommendation of Minister for Fire and Emergency Services.	Investigation – CCC responsible. Decision on discipline - in accordance with s4.5 Police Service Administration Act 1990.	Independent Director- General
Director-General, DPC	Commissioner of Fire and Emergency Services	Commissioner of Police	SES3 and above (excluding Directors-General) Queensland Health SES3 and above and Health Executive Service (HES) employe equivalents with a direct reporting relationship to Director-General, Queensland Health

discipline processes where it is managed by the entity. Coordinate progress reporting from entity.	To be managed in accordance with Queensland Health policies and procedures.
	Queensland Health SES3 and above and HES equivalents – no direct reporting relationship to Director-General, Queensland Health