

Complaints about the

Director-General - Section 48A Crime and Corruption Act 2001 Policy

### Ethical Standards Unit, Governance Branch, Corporate

## Contents

[Contents 2](#_TOC_250012)

[Complaints about the Director-General: section 48A of the Crime and Corruption Act 2001 3](#_TOC_250011)

[Policy Rationale 3](#_TOC_250010)

[Definitions 3](#_TOC_250009)

[Policy Application 4](#_TOC_250008)

[Nominated Person 4](#_TOC_250007)

[Complaints about the Director-General 5](#_TOC_250006)

[Recordkeeping requirements 6](#_TOC_250005)

[Resourcing the Nominated Person 6](#_TOC_250004)

[Liaising with the CCC 6](#_TOC_250003)

[Consultation with the CCC 6](#_TOC_250002)

[Statutory references 6](#_TOC_250001)

[Approval 7](#_TOC_250000)

## Complaints about the Director-General: section 48A of the Crime and Corruption Act 2001

Under s. 48A of the Crime and Corruption Act 2001 (CC Act), a public official must

have a policy about how their unit of public administration will deal with a complaint that involves, or may involve, corrupt conduct by the public official, so that transparency and integrity are maintained.

1. the Director-General is the public official of the Department of Transport and Main Roads (TMR) for the purposes of the CC Act.
2. the Public Sector Commission has published a “Framework for oversight of senior public service employee complaints devolved by the CCC” (the Framework), which applies to complaints about the Director-General of TMR.
3. the objective of this policy is to set out how TMR will deal with a complaint (or information or matter)1 that involves or may involve corrupt conduct, as defined in the CC Act, by the Director-General.

## Policy Rationale

This policy is designed to assist TMR to:

1. comply with s. 48A of the CC Act and the Framework.
2. promote public confidence in the way suspected corrupt conduct by the Director-General of TMR is dealt with (s. 34(c) CC Act).
3. promote accountability, integrity, and transparency in the way the TMR deals with a complaint that is reasonably suspected to involve, or may involve, corrupt conduct by the Director-General.

## Definitions

#### Crime and Corruption Commission (CCC)

#### the Commission continued in existence under the CC Act

CC Act *Crime and Corruption Act 2001*



Complaint

includes information or matter: see the definition in s. 48A(4) of

the CC Act

1 See s. 48A(4) of the CC Act and the definitions in clause 3.

Contact details for Nominated person

[ethics@tmr.qld.gov.au](mailto:ethics@tmr.qld.gov.au) Corrupt conduct see s. 15 of the CC Act

Corruption in Focushttps://[www.ccc.qld.gov.au/publications/corruption-focus;](http://www.ccc.qld.gov.au/publications/corruption-focus%3B) see chapter 2, page 26

Deal with see Schedule 2 (Dictionary) of the CC Act

Framework means the “Framework for oversight of senior public service employee complaints devolved by the CCC” that has been published by the PSC (as varied from time to time) – see Attachment 1

Nominated person see item 5 of this policy

Public official see s. 48A & Schedule 2 (Dictionary) of the CC Act



Public Sector Commission

PSC

## 

## Policy Application

This policy applies:

* if there are grounds to suspect that a complaint may involve corrupt conduct by the Director-General of TMR; and
* to all persons who hold an appointment in or are employees of TMR. For the purpose of this policy, a complaint includes information or matter.2

## Nominated Person

Having regard to s. 48A(2) and (3) of the CC Act, this policy nominates:

* the Chief Operations Officer as the nominated person to notify3 the CCC of the complaint and, subject to the Framework, to deal with the complaint under the CC Act.4
* The provisions of the CC Act that regulate how the Director-General as the public official of TMR is to notify or deal with a complaint also apply to the nominated person.5

2 See s. 48A(4) of the CC Act.

3 Pursuant to s. 38 of the CC Act.

4 Under Chapter 2, Part 3, Division 4, Subdivision 2 of the CC Act.

5 See s. 48A(3) of the CC Act.

## Complaints about the Director-General

If a complaint may involve an allegation of corrupt conduct by the Director-General of TMR, the complaint may be reported to:

* the nominated person; or
* a person to whom there is an obligation to report under an Act6 (this does not include an obligation imposed by ss. 38 or 39(1) of the CC Act).

If there is uncertainty about whether or not a complaint should be reported, it is best to report it to the nominated person.

##### Complaints received by the nominated person

If the nominated person reasonably suspects that a complaint involves or may involve corrupt conduct by the Director-General, they are to notify the CCC of the complaint.7

Where, pursuant to s. 46 of the CC Act, the CCC refers a complaint back to the nominated person to deal with,8 or pursuant to directions issued under s. 40 of the CC Act, the nominated person is entitled to commence dealing with a complaint, the nominated person shall:

* ***not*** commence investigating the complaint
* advise the Director-General of the Department of the Premier and Cabinet about the referral and/or complaint so a delegation from the Premier to deal with the complaint can be sought; and
* cooperate with any requests for assistance made by another agency that is investigating or managing the investigation of the complaint.

##### Complaints received by the Director-General

If the Director-General receives a complaint that may involve corrupt conduct on their part, they must:

* report the complaint to the nominated person as soon as practicable and may also notify the CCC; and
* take no further action to deal with the complaint unless requested to do so by the nominated person or another agency that is investigating or managing the investigation of the complaint.

6 See s. 39(2) of the CC Act.

7 Pursuant to s. 38 of the CC Act.

8 Under ss. 43 and 44 of the CC Act.

## Recordkeeping requirements

Should the nominated person decide that a complaint, or information or matter, about alleged corrupt conduct by the Director-General is not required to be notified to the CCC under s. 38 of the CC Act, the nominated person must make a record of the decision that complies with s. 40A of the CC Act.

## Resourcing the Nominated Person

If pursuant to s. 46 of the CC Act, the CCC refers a complaint back to the nominated person to deal with, or pursuant to directions issued under s. 40 of the CC Act, the nominated person is entitled to commence dealing with a complaint:

* TMR will ensure that sufficient resources are available to the nominated person to enable them to perform their obligations under clause 6 of this policy; and
* the nominated person is delegated the same authority, functions, and powers as the Director-General to direct and control staff of TMR as if the nominated person is the Director-General of TMR for the purpose of dealing with the complaint only.

## Liaising with the CCC

The Director-General is to keep the CCC and nominated person informed of:

* the contact details for the Director-General and the nominated person; and
* any proposed changes to this policy.

## Consultation with the CCC

The Director-General will consult with the CCC when preparing any policy about how TMR will deal with a complaint that involves or may involve corrupt conduct by the Director-General.

## Statutory references

Unless otherwise stated, all statutory references are to the Crime and Corruption Act 2001.

## Approval

This policy is approved by:

Sally Stannard

#### Director-General

#### Department of Transport and Main Roads

Date: